

#9  
VT  
9/11/08  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. : 6448102  
Issued : 9/10/2002  
Assignee : Xerox Corporation;  
First Named Inventor : Michael A. Kneissl  
Title of Invention : Method for Nitride Based Laser Diode with Growth Substrate  
Removed  
Customer No. : 25453

Commissioner for Patents  
**Attention: Certificate of Corrections Branch**  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 C.F.R. 3.73(b) STATEMENT RE:  
REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. 1.323  
FOR APPLICANT'S MISTAKE**


On behalf of Xerox Corporation;, the Assignee of record of the entire interest in the patent identified above (the assignment of which, under 37 CFR 3.73(b), was recorded on 11/26/1999 on reel(s) 10397 and frame(s) 916-921), I hereby respectfully request that a Certificate of Correction under the provisions of 37 C.F.R. 1.323 be issued to correct the hereinafter-identified mistake in the above-identified patent that arose through the fault of Applicant.

The mistake is an omission of a statement regarding federally sponsored research or development, which should have appeared in the application file (as specified under 37 C.F.R. 1.77(b)(3)) on Page one, Line 3, before CROSS REFERENCE TO RELATED APPLICATIONS. The mistake, which occurred in good faith, is of minor character and does not involve such changes in the patent as would constitute new matter or would require re-examination.

Enclosed herewith, in duplicate, is a completed Form PTO/SB/44 which inserts the omitted statement regarding federally sponsored research or development in the patent at Column 1, Line 4.

The undersigned Xerox Corporation; attorney hereby authorizes the charging of any necessary fees, to Xerox Corporation Deposit Account No. 24-0025.

10/03/2008 VTOLBERT 00000003 240025 09277328  
Sale Ref: 00000003 DA#: 240025 09277328  
01 FC:1811 100.00 DA

  
\_\_\_\_\_  
Signature of Agent Authorized To Act On Behalf of  
The Assignee Xerox Corporation;  
**Nola Mae McBain**

Date: **September 11, 2008**

Reg. No. **35,782**  
Telephone No. **650-812-4264**

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO. : 6448102 **B1**  
APPLICATION NO.: 09/277328  
ISSUE DATE : 9/10/2002  
INVENTOR(S) : Michael A. Kneissl et al.

Page 1 of 1

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 1, line 4, insert as a new paragraph:

This invention was made with Government support under 70NANB2H1241 awarded by DoC/SDL. The Government has certain rights in this invention.

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

PATENT DOCUMENTATION CENTER, XEROX CORPORATION  
100 CLINTON AVE. S., XEROX SQ. 20TH FLOOR  
ROCHESTER, NEW YORK 14644

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,448,102 B1  
APPLICATION NO. : 09/277328  
DATED : September 10, 2002  
INVENTOR(S) : Michael A. Kneissl et al.

Page 1 of 1

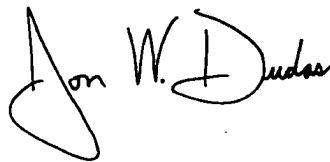
It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 1, line 4, insert as a new paragraph:

This invention was made with Government support under 70NANB2H1241 awarded by DoC/SDL. The Government has certain rights in this invention.

Signed and Sealed this

Twenty-eighth Day of October, 2008

A handwritten signature in black ink, appearing to read "Jon W. Dudas". The signature is stylized with a large, looped initial "J" and a cursive "Dudas".

JON W. DUDAS  
*Director of the United States Patent and Trademark Office*